



4-18-02

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Express Mailing Label No.: EL 953 480 294 US
Date Mailed: April 17, 2002

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Attorney's Docket No.: S01415.70010/SJH/DPM

4-25-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John T. Moy, et al.
Serial No: 09/760,510
Filed: January 12, 2001
For: SERVICE DISCOVERY USING A USER DEVICE INTERFACE
TO AN OPTICAL TRANSPORT NETWORK
Confirm No: 2156
Examiner: Not yet assigned
Art Unit: 2642

Commissioner For Patents
Washington, D.C. 20231

RECEIVED

APR 22 2002

Sir:

Technology Center 2600

Transmitted herewith is/are the following document(s):

- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 with cited references
- ☒ Return Receipt Postcard

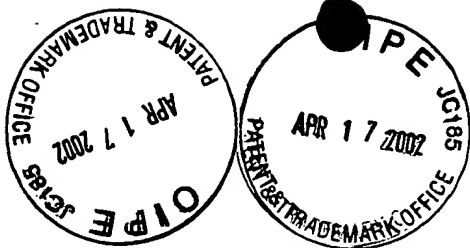
If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,
Moy, et al., Applicant(s)

Daniel P. McLoughlin, Reg. No.: 46,066
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Attorneys for Applicant(s)

Docket No. S01415.70010/SJH/DPM
Dated: April 17, 2002
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ATTORNEY'S DOCKET NO: S01415.70010/SJH/DPM

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Commissioner for Patents
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STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application: The Applicant hereby makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application

<u>Serial No.</u>	<u>Filing Date</u>	<u>Title of Application</u>	<u>Attorney Docket No.</u>
09/759,719	01/12/2001	Encoding Signaling Information at a Physical Layer of a Network Protocol	S01415.70009

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Moy, et al., Applicant(s)

By: 

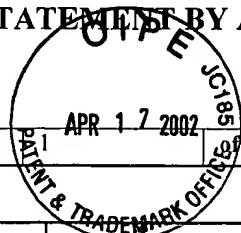
Daniel P. McLoughlin, Reg No. 46,066
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. S01415.70010.SJH/DPM

Dated: April 17, 2002

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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/760,510	ATTY. DOCKET NO.: S01415.70010/SJH/DPM
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: January 12, 2001	
		APPLICANT: Moy, et al.	
		GROUP ART UNIT: 2642	EXAMINER: Not yet assigned
Sheet 1	1		



U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYY
		Number	Kind Code		
	B1	5,365,510		Nicholson et al.	11/15/1994

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FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	B2	PCT	WO 00/02350	A1	Telefonaktiebolaget LM Ericsson	13/01/2000	
	B3	EPO	0 124 959	A2	Sperry Corporation	14/11/1984	
	B3	EPO	0 306 585	A1	IBM Corporation	15/03/1989	
	B4	PCT	WO 97/13348	A2	Silicon Image, Inc.	10/04/1997	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	B5	Grover, Wayne D., "Self-Organizing Broad-Band Transport Networks", <i>Proceedings of the IEEE</i> , Vol. 85, No. 10, October 1997; pages 1582-1611;	
	B6	Johansson, Sonny, "Transport Network Involving a Reconfigurable WDM Network Layer – A European Demonstration", <i>Journal of Lightwave Technology</i> , Vol. 14., No. 6, June 1996, pp. 1341-1348;	
	B7	Wei, John Y., et al., "Network Control and Management of a Reconfigurable WDM Network", <i>1996 IEEE</i> , October 21, 1996, pp. 581-586.	
	B8	International Search Report Dated 18/01/2000	

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).